IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hiroji EBE, et al.

Serial Number: Not Yet Assigned

Filed: **August 21, 2003**

For: SEMICONDUCTOR OPTICAL DEVICE WITH QUANTUM DOTS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

August 21, 2003

Sir:

In compliance with 37 CFR 1.56, Applicants call to the attention of the Patent and Trademark Office the references listed on the attached PTO-1449.

A copy of each of the references are enclosed herewith.

In the event there are any fees due in connection with the filing of this paper, please charge

Deposit Account No. <u>01-2340</u>.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP

William G. Kratz, Yr.
Attorney for Applicants
Reg. No. 22,631

WGK/jaz Atty. Docket No. **031022** Suite 1000 1725 K Street, N.W. Washington, D.C. 20006 (202) 659-2930

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PATENT TRADEMARK OFFICE

Enclosures: PTO-1449; References (2)

INFORMATION DISCLOSURE STATEMENT PTO-1449	Atty. Docket No. 031022 Serial No. New Application				
	Applicant(s): Hiroji EBE, et al.				
	Filing Date: August 21, 2003	Group Art Unit: Not Yet Assigned			
U.S. PATENT DOCUMENTS					

Examiner Initial		Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
	AA						
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	AD						

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Translation (Yes or No)	
 AE	11-50446	02/23/99	Japan	Yes-Abstract	
 AF	11-15477	01/22/99	Japan	Yes-Abstract	
 AG				1	
 AH					
 AI					

OTHER DOCUMENTS

	AJ	
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Examiner		Date Considered